



A Public Entity

INLAND EMPIRE HEALTH PLAN



**To:** Inpatient Acute Care Hospitals and Ambulatory Surgical Centers  
**From:** IEHP – Provider Relations  
**Date:** December 09, 2015  
**Subject:** **Provider Preventable Conditions**

On March 11<sup>th</sup>, 2015, the California Department of Healthcare Services (DHCS) released APL 15-006<sup>1</sup> and DPL 15-002<sup>2</sup> regarding Provider Preventable Conditions (PPCs). PPCs are defined as Other Provider Preventable Conditions (OPPCs) and Health Care Acquired Conditions (HCACs). As a part of these instructions, the Health Plan, inpatient acute care hospitals, and ambulatory surgical centers must follow the below instructions for both Medicare and Medi-Cal lines of business:

1. **Providers are REQUIRED to send a copy of the DHCS 7107 Form<sup>3</sup> to DHCS’ A&I Division and also to IEHP.** Please fax the Form to IEHP’s Quality Management Department at 909-890-5545;
2. IEHP must retain copies of all DHCS 7107 Forms for later reconciliation of allowable payments.

When submitting PPCs to IEHP, Providers should also include a brief summary (short paragraph) of the PPC.

Below is a quick synopsis of the PPC reportable offenses:

<b>Outpatient Procedures - OPPC</b>
• Provider performed the wrong surgical or other invasive procedure on a patient.
• Provider performed a surgical or other invasive procedure on the wrong body part.
• Provider performed a surgical or other invasive procedure on the wrong patient.

<sup>1</sup> APL 15-006 can be found here <http://www.dhcs.ca.gov/formsandpubs/Documents/MMCDAPLsandPolicyLetters/APL2015/APL15-006.pdf>

<sup>2</sup> DPL 15-002 can be found here <http://www.dhcs.ca.gov/formsandpubs/Documents/MMCDAPLsandPolicyLetters/DPL2015/DPL15-002.pdf>

<sup>3</sup> All DHCS instructions, including the DHCS 7107 Form can be located at this link: [http://www.dhcs.ca.gov/individuals/Pages/AI\\_PPC.aspx](http://www.dhcs.ca.gov/individuals/Pages/AI_PPC.aspx).

<b>Inpatient Procedures - HCAC</b>	
<ul style="list-style-type: none"> <li>• Air embolism</li> </ul>	<ul style="list-style-type: none"> <li>• Vascular catheter-associated infection</li> </ul>
<ul style="list-style-type: none"> <li>• Blood incompatibility</li> </ul>	<ul style="list-style-type: none"> <li>• Iatrogenic pneumothorax with venous catheterization</li> </ul>
<ul style="list-style-type: none"> <li>• Catheter-associated urinary tract infection (UTI)</li> </ul>	<ul style="list-style-type: none"> <li>• Surgical Site infection following cardiac implantable electronic device (CIED) Procedures</li> </ul>
<ul style="list-style-type: none"> <li>• Falls and trauma that result in fractures, dislocations, intracranial injuries, crushing injuries, burns and electric shock</li> </ul>	<ul style="list-style-type: none"> <li>• For non-pediatric/obstetric population, deep vein thrombosis (DVT)/ pulmonary embolism (PE) resulting from:               <ul style="list-style-type: none"> <li>- Total knee replacement</li> <li>- Hip replacement</li> </ul> </li> </ul>
<ul style="list-style-type: none"> <li>• Foreign object retained after surgery</li> </ul>	<ul style="list-style-type: none"> <li>• Stage III and IV pressure ulcers</li> </ul>
<ul style="list-style-type: none"> <li>• Iatrogenic pneumothorax with venous catheterization</li> </ul>	<ul style="list-style-type: none"> <li>• Surgical site infection following:               <ul style="list-style-type: none"> <li>- Mediastinitis following coronary artery bypass graft (CABG)</li> <li>- Bariatric surgery, including laparoscopic gastric bypass, gastroenterostomy and laparoscopic gastric restrictive surgery</li> <li>- Orthopedic procedures for spine, neck, shoulder, and elbow</li> <li>- Cardiac implantable electronic device (CIED) procedures</li> </ul> </li> </ul>

All links to the APL, DPL, DHCS instructions, and the DHCS 7107 Form can be found at IEHP non secure website at <https://ww3.iehp.org/en/providers/>

If you have any questions, feel free to contact Valerie Martinez, Director of Quality Management at (909) 890-2065.